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Estimation of $\|A^{-1}\|_{\infty}$ for weakly chained diagonally dominant M -matrices[☆]

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ABSTRACT

Let A be a weakly chained diagonally dominant (wcdd) M -matrix, an upper bound for $\|A^{-1}\|_{\infty}$ is presented and further applied to establish an lower bound for the smallest eigenvalue of A . Effectiveness of the new upper bound is shown numerically.

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1. Introduction

Let $R^{n \times n}$ be the set of all real matrices of order n , $A = (a_{ij}) \in R^{n \times n}$, and N denote the set $\{1, 2, \dots, n\}$, for any positive integer n .

An $n \times n$ matrix A is called a nonsingular M -matrix if there exists an $n \times n$ nonnegative matrix B and some real number a such that

$$A = aI - B, \quad a > \rho(B),$$

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where I is the identity matrix, $\rho(B)$ is the spectral radius of the nonnegative matrix B . If $q(A)$ denotes the minimum of all real eigenvalues of an M -matrix A , then $q(A) = \frac{1}{\rho(A^{-1})}$, where $\rho(A^{-1})$ is the spectral radius of A^{-1} . For further discussion of this issue, see, for example, [1,2].

For convenience, some notations are introduced as follows

$$\begin{aligned} R_i(A) &= \sum_{j=1, j \neq i}^n |a_{ij}|, \quad r_i(A) = \sum_{j=1}^n |a_{ij}|, \\ \rho_i &= \frac{1}{|a_{ii}|} \sum_{j=1, j \neq i}^n |a_{ij}|, \quad l_i = \frac{1}{|a_{ii}|} \sum_{j=1}^{i-1} |a_{ij}|, \quad u_i = \frac{1}{|a_{ii}|} \sum_{j=i+1}^n |a_{ij}|, \\ b_k &= \max \left\{ \frac{\sum_{j \neq i+k, k \leq j \leq n} |a_{i+k,j}|}{|a_{i+k,i+k}|}, i = 1, \dots, n-k \right\}, \quad k = 1, \dots, n, \\ p_k &= \max \left\{ \frac{|a_{i+k,k}| + \sum_{h=k+1, h \neq i+k}^n |a_{i+k,h}| b_h}{|a_{i+k,i+k}|}, i = 1, \dots, n-k \right\}, \quad k = 1, \dots, n, \\ d_k &= \max \left\{ \frac{\sum_{j \neq i+k-1, k \leq j \leq n} |a_{i+k-1,j}|}{|a_{i+k-1,i+k-1}|}, i = 1, \dots, n-k+1 \right\}, \quad k = 1, \dots, n. \end{aligned}$$

Obviously, $\rho_i = (u_i + l_i)$.

In numerical analysis, a bound is often required for $\|A^{-1}\|_\infty$. It is well known that it is usually difficult to bound $\|A^{-1}\|$ in any norm unless A^{-1} is known explicitly.

For a strictly diagonally dominant matrix $A = (a_{ij}) \in R^{n \times n}$, i.e.,

$$|a_{ii}| > \sum_{j=1, j \neq i}^n |a_{ij}|, \quad i \in N,$$

Varga [3] obtained a bound of $\|A^{-1}\|_\infty$ as

$$\|A^{-1}\|_\infty \leq \max \left\{ \frac{1}{|a_{ii}| - \sum_{j=1, j \neq i}^n |a_{ij}|} \right\}, \quad i \in N. \quad (1)$$

For a strictly diagonally dominant M -matrix, Cheng and Huang [4] presented the following result:

$$\|A^{-1}\|_\infty \leq \frac{1}{a_{11}(1 - u_1 d_1)} + \sum_{i=2}^n \left[\frac{1}{a_{ii}(1 - u_i d_i)} \prod_{j=1}^{i-1} \left(1 + \frac{u_j}{1 - u_j d_j} \right) \right]. \quad (2)$$

A matrix $A = (a_{ij}) \in R^{n \times n}$ is a weakly chained diagonally dominant (*wcdd*) matrix if A is diagonally dominant, i.e., $|a_{ii}| \geq \sum_{j=1, j \neq i}^n |a_{ij}|$ for each $i \in N$ and where $J(A) = \{i \in N \mid |a_{ii}| > \sum_{j=1, j \neq i}^n |a_{ij}|\} \neq \emptyset$, and for each $i \notin J(A)$ there is a sequence of nonzero elements of A of the form $a_{i, i_1}, a_{i_1, i_2}, \dots, a_{i_r, j}$ with $j \in J(A)$.

We refer to [5] and [9] for more details of weakly chained diagonally dominant matrices.

Some practical problems such as those in digital circuit dynamics are related to *wcdd* matrices. Shivakumar et al. [5] first provided the following results in the infinity norm of the inverse of *wcdd* M -matrices

$$\|A^{-1}\|_\infty \leq \sum_{i=1}^n \left[a_{ii} \prod_{j=1}^i (1 - u_j) \right]^{-1}, \quad (3)$$

where $u_j < 1$, $\forall j \in N$. Subsequently, Li [6] obtained the following bound for $\|A^{-1}\|_\infty$ of a *wcdd* M -matrix $A = (a_{ij})$ with $a_{kk}(1 + l_k) > s_k(A)$, $\forall k \in N$

$$\|A^{-1}\|_\infty \leq \sum_{i=1}^n \prod_{k=1}^i \frac{h_k}{a_{kk}(1 + l_k) - s_k(A)}, \quad (4)$$

where $s_n(A) = R_n(A)$, $s_k(A) = \sum_{i=1}^{k-1} |a_{ki}| + \sum_{i=k+1}^n |a_{ki}| \frac{s_i(A)}{|a_{ii}|}$, $k = n-1, \dots, 1$; $h_1 = 1$, $h_k = r_{k-1}(A) - s_{k-1}(A)$, $k = 2, \dots, n$.

These above results can be applied to estimate the lower bound of the minimal eigenvalue of a *wcdd* M -matrix A and the condition number of a matrix.

In this paper, a new upper bound for $\|A^{-1}\|_\infty$ of *wcdd* M -matrices is further discussed, whose effectiveness will be shown by numerical examples.

2. Estimation for an upper bound of $\|A^{-1}\|_\infty$

Before a new upper bound is presented, some lemmas and results are given.

Lemma 1 [5]. If $A = (a_{ij})$ is an $n \times n$ *wcdd* matrix and $A^{-1} = (\alpha_{ij})$, then for $i \neq j$

$$|\alpha_{ij}| \leq \rho_i |\alpha_{ji}| \leq |\alpha_{ji}|,$$

and if $i \in J(A)$,

$$\frac{1}{|a_{ii}|(1 + \rho_i)} \leq |\alpha_{ii}| \leq \frac{1}{|a_{ii}|(1 - \rho_i)}.$$

The same result for a strictly diagonally dominant matrix was provided by Ostrowski [8].

Lemma 2. If $A = (a_{ij})$ is an $n \times n$ *wcdd* M -matrix and $A^{-1} = (\alpha_{ij})$, then for $i \neq j$

$$|\alpha_{ij}| \leq \frac{|a_{ij}| + \sum_{k \neq i, j} |a_{ik}| \rho_k}{|a_{ii}|} |\alpha_{jj}|, \quad i \neq j, \quad (5)$$

and when $j = 1$, we have

$$|\alpha_{i1}| \leq \frac{|a_{i1}| + \sum_{k \neq 1, i} |a_{ik}| \rho_k}{|a_{ii}|} |\alpha_{11}| \leq \rho_1 |\alpha_{11}| \leq |\alpha_{11}|, \quad i \neq 1, \quad (6)$$

and

$$\frac{1}{|a_{11}|(1 + \rho_1 \rho_1)} \leq |\alpha_{11}| \leq \frac{1}{|a_{11}|(1 - \rho_1 \rho_1)}. \quad (7)$$

Proof. Let

$$\rho_i(\varepsilon, 1) = \begin{cases} \frac{\sum_{k \neq i} |a_{ik}| + \varepsilon}{|a_{ii}|}, & i \in J(A), \\ 1, & i \in N, i \notin J(A), \end{cases}$$

where $\varepsilon > 0$ is sufficiently small such that $0 < \rho_i(\varepsilon, 1) \leq 1$ for $i \in N$. Let

$$D_j(\varepsilon, 1) = \text{diag}(\rho_1(\varepsilon, 1), \dots, \rho_{j-1}(\varepsilon, 1), 1, \rho_{j+1}(\varepsilon, 1), \dots, \rho_n(\varepsilon, 1)), \quad j \in N.$$

Obviously, the matrix $AD_j(\varepsilon, 1)$ is a *wcdd* matrix when $D_j(\varepsilon, 1) = \text{diag}(1, \dots, 1) = I$, and the matrix $AD_j(\varepsilon, 1)$ is a strictly diagonally dominant matrix when $D_j(\varepsilon, 1) \neq I$. No matter what $D_j(\varepsilon, 1)$ is, the matrix $AD_j(\varepsilon, 1)$ is always a *wcdd* matrix. Therefore, by Lemma 1, for the matrix $AD_j(\varepsilon, 1)$, we have

$$\frac{|\alpha_{ij}|}{\rho_i(\varepsilon, 1)} \leq \frac{|a_{ij}| + \sum_{k \neq i, j} |a_{ik}| \rho_k(\varepsilon, 1)}{|a_{ii}| \rho_i(\varepsilon, 1)} |\alpha_{jj}|, \quad i \neq j,$$

i.e.,

$$|\alpha_{ij}| \leq \frac{|a_{ij}| + \sum_{k \neq i, j} |a_{ik}| \rho_k(\varepsilon, 1)}{|a_{ii}|} |\alpha_{jj}|, \quad i \neq j.$$

As $\varepsilon \rightarrow 0$, we obtain

$$|\alpha_{ij}| \leq \frac{|a_{ij}| + \sum_{k \neq i,j} |a_{ik}| \rho_k}{|a_{ii}|} |\alpha_{jj}|, \quad i \neq j,$$

and letting $j = 1$, we get

$$|\alpha_{i1}| \leq \frac{|a_{i1}| + \sum_{k \neq i,1} |a_{ik}| \rho_k}{|a_{ii}|} |\alpha_{11}|, \quad i \neq 1,$$

both of which prove (5) and the leftmost inequality of (6). Using the definitions of p_k and b_k , we have $\frac{|a_{i1}| + \sum_{k \neq i,1} |a_{ik}| \rho_k}{|a_{ii}|} \leq p_1 \leq 1$, from which one may deduce the inequality (6). From $A^{-1}A = I$ we have

$$\alpha_{11}a_{11} + \sum_{j=2}^n a_{1j}\alpha_{j1} = 1.$$

Hence

$$\begin{aligned} |\alpha_{11}a_{11}| &\leq 1 + \sum_{j=2}^n |a_{1j}\alpha_{j1}| \\ &\leq 1 + p_1|\alpha_{11}| \sum_{j=2}^n |a_{1j}|, \end{aligned}$$

which implies that

$$|\alpha_{11}| \left(|a_{11}| - p_1 \sum_{j=2}^n |a_{1j}| \right) \leq 1,$$

resulting in

$$|\alpha_{11}| \leq \frac{1}{|a_{11}|(1 - \rho_1 p_1)}.$$

The proof of the left inequality of (7) is analogous. \square

The same results as (5) for strictly diagonally dominant matrices can be found in [7].

For nonempty index set $\beta^{(k)} \subseteq N$, we denote $A[\beta^{(k)}]$ as the submatrix of A whose rows and columns are indexed by $\beta^{(k)}$. By $A^{(k)}$ we denote $A^{(k)} = A[\alpha^{(k)}]$, where $\alpha^{(k)} = \{k+1, \dots, n\}$; for instance, $A^{(1)}$ is the submatrix of A obtained by deleting the first row and the first column of A .

Recall that $A = (a_{ij}) \in \mathbb{R}^{n \times n}$ is an L -matrix if for all $i, j \in N$ with $i \neq j$, $a_{ij} \leq 0$ and $a_{ii} > 0$.

Lemma 3 [5]. *A wcdd L -matrix is a nonsingular M -matrix.*

Lemma 4 [5]. *If $A = (a_{ij})$ is an $n \times n$ wcdd M -matrix, then $B = A^{(1)}$ is an $(n-1) \times (n-1)$ wcdd M -matrix. (i.e., $B^{-1} = (\beta_{ij})$ exists and $\beta_{ij} \geq 0$, $i, j = 2, 3, \dots, n$).*

Let A be an $n \times n$ wcdd M -matrix, $B = A^{(1)}$, then by Lemmas 3 and 4, A and B are nonsingular, $B^{-1} = (\beta_{ij})$, $\beta_{ij} \geq 0$. Now we partition A and A^{-1} into the following block forms:

$$A = (a_{ij}) = \begin{bmatrix} a_{11} & x^T \\ y & B \end{bmatrix}, \quad A^{-1} = (\alpha_{ij}) = \begin{bmatrix} \alpha_{11} & u^T \\ v & C \end{bmatrix},$$

where $x^T = (a_{12}, \dots, a_{1n})$, $y^T = (a_{21}, \dots, a_{n1})$, $u^T = (\alpha_{12}, \dots, \alpha_{1n})$ and $v^T = (\alpha_{21}, \dots, \alpha_{n1})$. By expanding $AA^{-1} = I$, for $i, j = 2, \dots, n$, it is easy to check that $\alpha_{11}\Delta = 1$, where

$$\Delta = a_{11} - x^T B^{-1} y = a_{11} - \sum_{k=2}^n a_{1k} \left(\sum_{i=2}^n \beta_{ki} a_{i1} \right).$$

Hence $\Delta \neq 0$ and $\alpha_{11} = \frac{1}{\Delta}$. Furthermore, $v = -\Delta^{-1}B^{-1}y$, which gives

$$\alpha_{i1} = \alpha_{11} \sum_{k=2}^n \beta_{ik}(-a_{k1}). \quad (8)$$

Similarly, from $C = B^{-1}(I - yu^T)$ and $u^T = -\Delta^{-1}x^TB^{-1}$, we get

$$\alpha_{1j} = \alpha_{11} \sum_{k=2}^n \beta_{kj}(-a_{1k}), \quad (9)$$

and

$$\alpha_{ij} = \beta_{ij} + \alpha_{1j} \sum_{k=2}^n \beta_{ik}(-a_{k1}).$$

Theorem 1. Let A be an $n \times n$ wccdd M -matrix and $B = A^{(1)}$, $A^{-1} = (\alpha_{ij})_{n \times n}$ and $B^{-1} = (\beta_{ij})_{(n-1) \times (n-1)}$ and assume that $\rho_1 p_1 < 1$. Then

$$\|A^{-1}\|_{\infty} \leq \max \left\{ \frac{1}{a_{11}(1 - \rho_1 p_1)} + \frac{\rho_1 \|B^{-1}\|_{\infty}}{1 - \rho_1 p_1}, \frac{p_1}{a_{11}(1 - \rho_1 p_1)} + \frac{\|B^{-1}\|_{\infty}}{1 - \rho_1 p_1} \right\}. \quad (10)$$

Proof. Let

$$r_i = \sum_{k=1}^n \alpha_{ik} = \alpha_{ii} + \sum_{k \neq i} \alpha_{ik}, \quad i = 1, \dots, n,$$

$$M_1 = \|A^{-1}\|_{\infty}, \quad M_2 = \|B^{-1}\|_{\infty}.$$

Then $M_1 = \max\{r_i, 1 \leq i \leq n\}$ and $M_2 = \max\{\sum_{k=2}^n \beta_{ik}, 2 \leq i \leq n\}$. By Lemma 2 and (9),

$$\begin{aligned} r_1 &= \alpha_{11} + \sum_{k=2}^n \alpha_{1k} \\ &= \alpha_{11} + \sum_{k=2}^n \alpha_{11} \sum_{p=2}^n \beta_{pk}(-a_{1p}) \\ &= \alpha_{11} + \alpha_{11} \sum_{p=2}^n (-a_{1p}) \sum_{k=2}^n \beta_{pk} \\ &\leq \alpha_{11} + \alpha_{11} \sum_{p=2}^n (-a_{1p}) M_2 \\ &\leq \frac{1}{a_{11}(1 - \rho_1 p_1)} (1 + a_{11} \rho_1 M_2). \end{aligned}$$

When $2 \leq i \leq n$, from (6) and (8), we get

$$\begin{aligned} \alpha_{i1} &\leq p_1 \alpha_{11}, \\ \alpha_{ij} &= \beta_{ij} + \alpha_{1j} \sum_{k=2}^n \beta_{ik}(-a_{k1}) \\ &= \beta_{ij} + \frac{1}{\alpha_{11}} \alpha_{i1} \alpha_{1j} \\ &\leq \beta_{ij} + \alpha_{1j} p_1. \end{aligned}$$

Thus, for $2 \leq i \leq n$, we obtain

$$\begin{aligned}
 r_i &= \alpha_{i1} + \sum_{j=2}^n \alpha_{ij} \\
 &\leq p_1 \alpha_{i1} + \sum_{j=2}^n (\beta_{ij} + \alpha_{1j} p_1) \\
 &= p_1 \alpha_{i1} + \sum_{j=2}^n \beta_{ij} + \sum_{j=2}^n \alpha_{1j} p_1 \\
 &\leq p_1 r_1 + M_2 \\
 &\leq p_1 \frac{1 + a_{11} \rho_1 M_2}{a_{11}(1 - \rho_1 p_1)} + M_2 \\
 &= \frac{p_1}{a_{11}(1 - \rho_1 p_1)} + \left(1 + \frac{\rho_1 p_1}{1 - \rho_1 p_1}\right) M_2,
 \end{aligned}$$

consequently,

$$\begin{aligned}
 M_1 &= \max\{r_1, r_i | 2 \leq i \leq n\} \\
 &\leq \max\left\{\frac{1 + a_{11} \rho_1 M_2}{a_{11}(1 - \rho_1 p_1)}, p_1 \frac{1 + a_{11} \rho_1 M_2}{a_{11}(1 - \rho_1 p_1)} + M_2\right\} \\
 &= \max\left\{\frac{1}{a_{11}(1 - \rho_1 p_1)} + \frac{\rho_1}{1 - \rho_1 p_1} M_2, \frac{p_1}{a_{11}(1 - \rho_1 p_1)} + \frac{1}{1 - \rho_1 p_1} M_2\right\}.
 \end{aligned} \tag{11}$$

According to (11), (10) follows. \square

Theorem 2. Let A be an $n \times n$ wncdd M -matrix, and assume that $u_k p_k < 1$, $k = 1, \dots, n$. Then

$$\|A^{-1}\|_{\infty} \leq \max\left\{\frac{1}{a_{11}(1 - u_1 p_1)} + \sum_{i=2}^n \left[\frac{1}{a_{ii}(1 - u_i p_i)} \prod_{j=1}^{i-1} \left(\frac{u_j}{1 - u_j p_j}\right)\right], \frac{p_1}{a_{11}(1 - u_1 p_1)} + \sum_{i=2}^n \left[\frac{p_i}{a_{ii}(1 - u_i p_i)} \prod_{j=1}^{i-1} \left(\frac{1}{1 - u_j p_j}\right)\right]\right\}. \tag{12}$$

Proof. Apply induction with respect to k to $A^{(k)}$ with Theorem 1.

Note that $\rho_1 = u_1, u_n = 0$ and $p_n = 1$. \square

Remark 1. With the upper and lower bounds of the smallest eigenvalue $q(A)$ given in [5], our new lower bound of $q(A)$ is established with (10) and (12).

Remark 2. From (12), we can assume that

$$\|A^{-1}\|_{\infty} \leq \frac{1}{a_{11}(1 - u_1 p_1)} + \sum_{i=2}^n \left[\frac{1}{a_{ii}(1 - u_i p_i)} \prod_{j=1}^{i-1} \left(\frac{u_j}{1 - u_j p_j}\right)\right]. \tag{13}$$

When $n = 1, 2$, by actual computation, it is known that (13) is smaller than or equal to (4).

When $n \geq 3$, for $k = 1, \dots, n - 1$, we have

$$\begin{aligned}
 \frac{u_k}{1 - u_k p_k} &= \frac{\sum_{i=k+1}^n |a_{ki}|}{a_{kk} - \sum_{i=k+1}^n |a_{ki}| p_k}, \\
 \frac{h_{k+1}}{a_{kk} + a_{kk} l_k - s_k(A)} &= \frac{a_{kk} + \sum_{i=k+1}^n |a_{ki}| - \sum_{i=k+1}^n |a_{ki}| \frac{s_i(A)}{a_{ii}}}{a_{kk} - \sum_{i=k+1}^n |a_{ki}| \frac{s_i(A)}{a_{ii}}}.
 \end{aligned}$$

Obviously, $u_k < h_{k+1}$, and p_k is becoming decreasing while $\frac{s_i(A)}{a_{ii}}$ remains constant when k is increasing. So that $\frac{s_i(A)}{a_{ii}}$ is much larger than p_k . Therefore, we have

$$\frac{u_k}{1 - u_k p_k} \leq \frac{h_{k+1}}{a_{kk} + a_{kk} l_k - s_k(A)},$$

and

$$\frac{1}{a_{kk} - \sum_{i=k+1}^n |a_{ki}| p_k} \leq \frac{1}{a_{kk} - \sum_{i=k+1}^n |a_{ki}| \frac{s_i(A)}{a_{ii}}},$$

when k is larger in practical cases. However, when k is smaller accordingly, it is possible that

$$\frac{u_k}{1 - u_k p_k} \geq \frac{h_{k+1}}{a_{kk} + a_{kk} l_k - s_k(A)},$$

and

$$\frac{1}{a_{kk} - \sum_{i=k+1}^n |a_{ki}| p_k} \geq \frac{1}{a_{kk} - \sum_{i=k+1}^n |a_{ki}| \frac{s_i(A)}{a_{ii}}}.$$

If $\|A^{-1}\|_{\infty} \leq \frac{p_1}{a_{11}(1-u_1 p_1)} + \sum_{i=2}^n \left[\frac{p_i}{a_{ii}(1-u_i p_i)} \prod_{j=1}^{i-1} \left(\frac{1}{1-u_j p_j} \right) \right]$, the discussion is analogous.

3. Examples

Example 1. Let

$$A = \begin{bmatrix} 4 & -1 & -1 \\ -2 & 5 & -3 \\ -1 & -2 & 4 \end{bmatrix}, \quad \|A^{-1}\|_{\infty} = 1.11, \quad J(A) = \{1, 3\}.$$

It is easy to verify that A is a weakly chained diagonally dominant M -matrix. We have

$$\|A^{-1}\|_{\infty} \leq 2.75 \quad (\text{by (3)}),$$

$$\|A^{-1}\|_{\infty} \leq 2.04 \quad (\text{by (4)}),$$

$$\|A^{-1}\|_{\infty} \leq 1.5 \quad (\text{by (12)}).$$

Example 2. Let

$$A = \begin{bmatrix} 1 & 0 & -0.2 \\ -0.2 & 1 & -0.1 \\ -0.7 & -0.2 & 1 \end{bmatrix}, \quad \|A^{-1}\|_{\infty} = 2.33, \quad J(A) = \{1, 2, 3\}.$$

It is not difficult to verify that A is a strictly diagonally dominant M -matrix. We have

$$\|A^{-1}\|_{\infty} \leq 10 \quad (\text{by (1)}),$$

$$\|A^{-1}\|_{\infty} \leq 3.8878 \quad (\text{by (2)}),$$

$$\|A^{-1}\|_{\infty} \leq 4.03 \quad (\text{by (3)}),$$

$$\|A^{-1}\|_{\infty} \leq 3.97 \quad (\text{by (4)}),$$

$$\|A^{-1}\|_{\infty} \leq 2.5540 \quad (\text{by (12)}).$$

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